

# Risk-Based Compliance Audit Report

## 1. Report Overview

**Audit Title:** [Audit Title]

**Organization/Unit:** [Organization Name]

**Audit Period:** [Period]

**Audit Date:** [Date]

**Auditor(s):** [Auditor Names]

## 2. Executive Summary

[Summary of Audit Scope, Major Risks, and Key Findings]

## 3. Objectives and Scope

- [Objective 1]
- [Objective 2]
- [Scope of the Audit]

## 4. Methodology

[Brief Description of Audit Approach, Risk Assessment, Sampling, and Tools Used]

## 5. Risk Assessment

Risk Area	Inherent Risk	Controls	Residual Risk
[Risk Area 1]	[High/Medium/Low]	[Control Measures]	[High/Medium/Low]
[Risk Area 2]	[High/Medium/Low]	[Control Measures]	[High/Medium/Low]

## 6. Detailed Findings and Recommendations

No.	Finding/Issue	Risk Level	Recommendation	Responsible Party	Target Date
1	[Finding Description]	[High/Medium/Low]	[Recommendation]	[Person/Dept]	[Date]
2	[Finding Description]	[High/Medium/Low]	[Recommendation]	[Person/Dept]	[Date]

## 7. Conclusion

[Summary of Conclusion and Overall Compliance Status]

## **8. Appendix**

- [Supporting Documents]
- [Management Responses]
- [Other Relevant Information]