

Risk-Based Compliance Audit Report

1. Report Overview

Audit Title: [Audit Title]

Organization/Unit: [Organization Name]

Audit Period: [Period]

Audit Date: [Date]

Auditor(s): [Auditor Names]

2. Executive Summary

[Summary of Audit Scope, Major Risks, and Key Findings]

3. Objectives and Scope

- [Objective 1]
- [Objective 2]
- [Scope of the Audit]

4. Methodology

[Brief Description of Audit Approach, Risk Assessment, Sampling, and Tools Used]

5. Risk Assessment

Risk Area	Inherent Risk	Controls	Residual Risk
[Risk Area 1]	[High/Medium/Low]	[Control Measures]	[High/Medium/Low]
[Risk Area 2]	[High/Medium/Low]	[Control Measures]	[High/Medium/Low]

6. Detailed Findings and Recommendations

No.	Finding/Issue	Risk Level	Recommendation	Responsible Party	Target Date
1	[Finding Description]	[High/Medium/Low]	[Recommendation]	[Person/Dept]	[Date]
2	[Finding Description]	[High/Medium/Low]	[Recommendation]	[Person/Dept]	[Date]

7. Conclusion

[Summary of Conclusion and Overall Compliance Status]

8. Appendix

- [Supporting Documents]
- [Management Responses]
- [Other Relevant Information]